

Equality Impact Assessment Procedure Template

1. Initial Screening Process

1.1 Title of the policy/procedure/function/service	
Conflict of Interest Policy	
1.2 Directorate/Department	
Human Resources	
1.3 Name of the person responsible for this Equality Impact Assessment	
Mary Sampson and Priti Bhatt	
1.4 Date of Completion	
12 th February 2010	
1.5 Aims and Purpose of this policy/procedure/function/service	
The aim is to provide guidance to all employees regarding what constitutes a conflict of interest in relation to their official position as an NHS employee.	
1.6 Is this a new or existing policy/procedure/function/service	
Existing	
1.7 Examination of Available Evidence – Tick evidence used	
<i>Census Data for UK</i>	<input type="checkbox"/>
<i>Census Data for London</i>	<input type="checkbox"/>
<i>Census Data for Local Authority Area</i>	<input type="checkbox"/>
<i>Trust Workforce Data</i>	<input type="checkbox"/>
<i>Trust Patient Data</i>	<input type="checkbox"/>
<i>National Patients Survey</i>	<input type="checkbox"/>
<i>Trust Patients Survey</i>	<input type="checkbox"/>
<i>Complaints Summaries</i>	<input type="checkbox"/>
<i>Other Internal Research/Survey/Consultation/Audit (please list)</i>	
<i>Other External Research/Survey/Consultation/Audit (please list)</i>	
What is the summary of the available evidence?	
It is an offence under the Prevention of Corruption Acts 1906 and 1916 for an employee to corruptly to accept any inducement or reward for doing or refraining from doing anything in their official capacity; or corruptly showing favour, or disfavour in the handling of contracts.	
This policy sets Trust's professional expectations in the work environment and	

encourages transparency in dealing with external organisations or negotiating contracts. Employees are required to declare any interests to the Foundation Secretary.

1.8 Does the evidence indicate that there is, or is the potential to be any significant impact on anyone or any group in relation to the following equality strands?

Strand	Yes/No/Insufficient Data	Justified Yes/No
Ethnicity/Race	No	N/A
Disability	No	N/A
Gender/Sex	No	N/A
Religion/Belief	No	N/A
Sexual Orientation	No	N/A
Age	No	N/A
Human Rights	No	N/A

If further evidence is required to complete this section, take steps to obtain to **before** proceeding with the assessment. If the review of evidence indicates that there is a significant unjustified impact, a Full Equality Impact Assessment must be carried out.

1.9 No further evidence Required. Skip to Section 5.

1.10 Full Equality Impact Assessment required. Continue to Section 2 below.

2. Full Equality Impact Assessment

2.1 Describe the aims of the parts of the policy causing concern?

2.2 Who is intended to be affected by the policy?

2.3 How does this policy fit with the Trust's wider aims?

2.4 Describe how is this policy, service or function put into practice?

2.5 Age: Testing of disproportionate or adverse impact

2.5a How are younger and older people reflected in the take-up of the service or in benefiting from the policy?

2.5b Describe how the policy has a significant impact on younger and older people
2.5c Do any of the differences amount to an adverse impact or unlawful discrimination?
2.6 Race: Testing of disproportionate or adverse impact
2.6a How are people reflected in the take-up of the service or in benefiting from the policy in relation to ethnicity, race nationality or national origin?
2.6b Describe how the policy has a significant impact on people in relation to ethnicity, race nationality or national origin?
2.6c Do any of the differences amount to an adverse impact or unlawful discrimination?
2.7 Religion or Belief: Testing of disproportionate or adverse impact
2.7a How are people reflected in the take-up of the service or in benefiting from the policy or function in religion or belief? (including other philosophies, or those with no religious belief)
2.7b Describe how the policy has a significant impact on people in relation to religion or belief? (including other philosophies, or those with no religious belief)
2.7c Do any of the differences amount to an adverse impact or unlawful discrimination?
2.8 Disability: Testing of disproportionate or adverse impact
2.8a How are disabled people reflected in the take-up of the service or in benefiting from the policy or function?
2.8b Describe how the policy has a significant impact on disabled people?
2.8c Do any of the differences amount to an adverse impact or unlawful discrimination?
2.9 Gender: Testing of disproportionate or adverse impact

2.9a How are women/men/transgender people reflected in the take-up of the service or in benefiting from the policy or function?

2.9b Describe how the policy has a significant impact on people because of their gender, sex (including gender reassignment) or because they are married or civil partners?

2.9c Do any of the differences amount to an adverse impact or unlawful discrimination?

2.10 Sexual Orientation: Testing of disproportionate or adverse impact

2.10a How are Lesbian, Gay and Bisexual people reflected in the take-up of the service or in benefiting from the policy or function?

2.10b Describe how the policy has a significant impact on Lesbian, Gay and Bisexual people

2.10c Do any of the differences amount to an adverse impact or unlawful discrimination?

2.11 Human Rights: testing of disproportionate or adverse impact

2.11a Describe how the policy has a significant impact on Human Rights?

2.11b Do any of the differences amount to an adverse impact or unlawful discrimination?

2.12 Equality of Opportunity

Describe how the policy will promote equality of opportunity between different groups; including taking account of disabled people's disabilities, even where that involves treating disabled people more favourably than other persons.

2.13 Eliminating Discrimination

Describe how the policy will eliminate unlawful discrimination – both direct and indirect.

2.14 Eliminating Harassment

Describe how the policy will eliminate harassment of people for any reason.

2.15 Promoting Positive Attitudes

Describe how the policy will promote positive attitudes towards others.

2.16 Promoting Participation

Describe how the policy will encourage participation in public life.

3. Action Plan

3.1 Summary of recommendations for improvement (*Recommendations should be SMART – specific, measurable, achievable, realistic and timely*).

The policy can be converted into Braille on request

4. Stakeholder Involvement and Consultation

4.1 Describe stakeholder involvement and consultation in the Equality Impact Assessment.

5. Monitoring Arrangements

5.1 Describe how the actions put into place to eliminate or reduce any unjustified negative impact will be monitored, including timeframes and accountability.

The policy will be reviewed to be up to date with any Trust changes.

6. Completion

You should now:

- Undertake formal consultation on your findings
- Make any amendments in response to the results of the consultation
- Publish your results (see guidance) and submit a copy to the Equality and Diversity Manager.